

APR 21 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete If Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 3

Application Number	10/743,543
Filing Date	December 23, 2003
First Named Inventor	Ronald C. Newbold, Jr.
Art Unit	2831
Examiner Name	

Attorney Docket Number 44609

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
LSP		US- 3,104,833	09-24-1963	C. J. Stuart et al.	
		US- 4,093,818	06-06-1978	Thwaites et al.	
		US- 4,210,070	07-01-1980	Tatum et al.	
		US- 4,237,671	12-09-1980	Munson	
		US- 4,276,332	06-30-1981	Castle	
		US- 4,375,142	03-01-1983	McDonald	
		US- 4,400,673	08-23-1983	Gilman	
		US- 4,400,766	08-23-1983	Munson	
		US- 4,574,454	03-11-1986	Dyson	
		US- 4,751,624	06-14-1988	Russo et al.	
		US- 4,930,054	05-29-1990	Krebs	
		US- 5,034,859	07-23-1991	Gawad et al.	
		US- 5,222,800	06-29-1993	Chan et al.	
		US- 5,351,448	10-04-1994	Gohlike et al.	
		US- 5,404,687	04-11-1995	Blake et al.	
		US- 5,373,431	12-13-1994	Hayman et al.	
		US- 5,457,617	10-10-1995	Chan et al.	
		US- 5,470,471	11-28-1995	Luthi et al.	
LSP		US- 5,546,711	08-20-1996	Heller	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
LSP	✓	GB2234710A	03-13-1991	Ward Derek Alfred		
LSP	✓	GB2270936A	03-30-1994	Hamilton Arthur Peter		
LSP	✓	GB 2 321 515 A	07-29-1998	Mark Adam Hawkins et al.		

Examiner Signature

Dhiraj Patel

LSP

Date Considered

7/22/04 9/2/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/743,543
				Filing Date	December 23, 2003
				First Named Inventor	Ronald C. Newbold, J
				Art Unit	2831
				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	44609

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
LSP		PROGRESS LIGHTING; firebox® The Recessed Downlighting Solution for Fire Rated Ceilings; Recessed Lighting, April 2003, page 36. <u> </u> NO Date LSP 14/14/04			
LSP		PROGRESS LIGHTING; P8585-01 Goof Ring, O.D. 8-7/8", for use with any recessed trim with a 7-3/4" O.D., Recessed Lighting, April 2003, page 60. <u> </u> NO Date LSP 14/14/04			
LSP		PROGRESS LIGHTING; P8012-Kit, retrofit kit for P8012 decorative recessed trims to fit into Juno and Halo housings, Recessed Lighting, April 2003, page 60. <u> </u> NO Date LSP 12/12/04			

Examiner Signature	D.R.-Polek	Date Considered	12/12/04
--------------------	------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.